

10/20/00
jc954 U.S. PTO

10-23-00 A

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 583-1040

First Inventor or Application Identifier Clive C. Hayball

Title System, Apparatus & Method For Personalising Web Content

Express Mail Label No. EL 700 383 701 US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Specification [Total Pages 30]
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. Drawing(s) /35 U.S.C. 113/ [Total Sheets 11]
4. Oath or Declaration [Total Pages]

 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

Assistant Commissioner for Patents
ADDRESS TO: Box Patent Application Washington, DC 20231

5. Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Copy
 - b. Paper Copy (identical to computer copy)
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))
8. 37 C.F.R. §3.73(b) Statement Power of (when there is an assignee) Attorney
9. English Translation Document (if applicable)
10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
11. Preliminary Amendment
12. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. Small Entity Statement(s) Statement filed in prior application, (PTO/SB/09-12) Status still proper and desired
14. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation-in-part (CIP) of prior application No: _____

Prior application information: Examiner _____

Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

| | | | | | | |
|--|--|-----------|----------------|----------|----------------|--|
| <input type="checkbox"/> Customer Number or Bar Code Label | (Insert Customer No. or Attach bar code label here.) | | | | | <input checked="" type="checkbox"/> Correspondence address below |
| Name | William M. Lee, Jr. | | | | | |
| | Lee, Mann, Smith, McWilliams, Sweeney & Ohlson | | | | | |
| Address | P.O. Box 2786 | | | | | |
| City | Chicago | State | Illinois | Zip Code | 60690-2786 | |
| Country | USA | Telephone | (312) 368-1300 | Fax | (312) 368-6620 | |

| | | | |
|-------------------|---|-----------------------------------|----------|
| Name (Print/Type) | Peter J. Shakula | Registration No. (Attorney/Agent) | 40,808 |
| Signature |  | Date | 10/20/00 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL

for FY 1999

*Patent fees are subject to annual revision.**Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.*

TOTAL AMOUNT OF PAYMENT (\$ 2076)

Complete If Known

Application Number

Filing Date

First Named Inventor Hayball

Examiner Name

Group / Art Unit

Attorney Docket No. 583-1040

METHOD OF PAYMENT (check one)

- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 12-0913
 Deposit Account Name Lee, Mann, Smith, McWilliams, Sweeney & Olson

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- Payment Enclosed:

Check Money Order Other

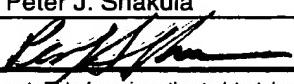
FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee | Fee Description | Fee Paid |
|----------------------------|----------------------------------|---------------------|---|----------|
| 105 | 130 | 205 | 65 Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 Surcharge - late provisional filing fee or cover sheet. | |
| 139 | 130 | 139 | 130 Non-English specification | |
| 147 | 2,520 | 147 | 2,520 For filing a request for reexamination | |
| 112 | 920* | 112 | 920* Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 Extension for reply within first month | |
| 116 | 380 | 216 | 190 Extension for reply within second month | |
| 117 | 870 | 217 | 435 Extension for reply within third month | |
| 118 | 1,360 | 218 | 680 Extension for reply within fourth month | |
| 128 | 1,850 | 228 | 925 Extension for reply within fifth month | |
| 119 | 300 | 219 | 150 Notice of Appeal | |
| 120 | 300 | 220 | 150 Filing a brief in support of an appeal | |
| 121 | 260 | 221 | 130 Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 Petition to revive - unavoidable | |
| 141 | 1,210 | 241 | 605 Petition to revive - unintentional | |
| 142 | 1,210 | 242 | 605 Utility issue fee (or reissue) | |
| 143 | 430 | 243 | 215 Design issue fee | |
| 144 | 580 | 244 | 290 Plant issue fee | |
| 122 | 130 | 122 | 130 Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 Petitions related to provisional applications | |
| 126 | 240 | 126 | 240 Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 Recording each patent assignment per property (times number of properties) | |
| 146 | 760 | 246 | 380 Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 760 | 249 | 380 For each additional invention to be examined (37 CFR 1.129(b)) | |
| Other fee (specify) _____ | | | | |
| Other fee (specify) _____ | | | | |
| SUBTOTAL (2) (\$ 1326) | Reduced by Basic Filing Fee Paid | SUBTOTAL (3) (\$ 0) | | |

SUBMITTED BY

Typed or Printed Name Peter J. Shakula

Signature 

Complete (if applicable)

Reg. Number 40,808

Date 10/20/00

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receipt _____
enclosed:
Roestenburg et al.

System, Apparatus and Method For Personalising Web Content

jc853 U.S. PTO
09/693132
10/20/00



SERIAL NO: To be assigned

FILING DATE: Herewith

1. Utility Patent Application Transmittal
2. Fee Transmittal for FY 1999 in duplicate
3. Check No. 41819 for \$2076.00
4. Specification with eleven (11) sheets of drawings
5. Certificate of EXPRESS MAIL.
6. Return Post Card.

DUE DATE: New Application

DATE SENT: October 20, 2000

WJR:lmb

"Express Mail" mailing label number

EL 700 383 701 US

Date of deposit: October 20, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Jorge Galvan

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

